

DATE: March 06, 2019

CIRCULARS

RBI

- Hedging of exchange rate risk by Foreign Portfolio Investors (FPIs) under Voluntary

 Retention Route
- 'Voluntary Retention Route' (VRR) for Foreign Portfolio Investors (FPIs) investment in debt
- Auction for Sale (Re-issue) of Government Stock (GS)
- Auction of Government of India Dated Securities
- Storage of Notes and Coins

NSE

- Mock trading (Contingency) on Saturday, March 09, 2019 No new version release
- Mock trading (Contingency) on Saturday, March 09, 2019 No new version release
- Mock trading (Contingency) on Saturday, March 09, 2019 New version of NEAT F&O 9.4.6 and NEAT +7.3.1
- NEAT Adapter New Versions
- NEAT Adapter
- Adjustment of Futures and Options contracts in the security WIPRO
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Introduction of Weekly Options Contracts on NIFTY IT INDEX

- Proposed Offer for Sale of Endurance Technologies Limited
- Introduction of Weekly Options Contracts on NIFTY IT INDEX
- Adjustment of Futures and Options contracts in the security RECLTD
- Introduction of Weekly Options Contracts on NIFTY IT INDEX
- Adjustment of Futures and Options contracts in the security RECLTD
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- SEBI order in the matter of Mentha Oil Contracts at Multi Commodity Exchange of India.
- SEBI Order in the matter of Commex Technology Ltd
- Quantity Freeze Limits for Indices
- Revised list of Cross Margin Eligible Exchange Traded Funds (ETFs)
- Applicable Exposure Margin
- SEBI order in respect of Allied Financial Services Private Limited.

BSE

- Applicability of Additional Surveillance Measure
- Revised MWPL for Wipro Ltd. SLBs.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger "Upload of Holding Statement"
- Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended March 01, 2019.
- Payment of SEBI Turnover Fees of Equity Segment/Equity Derivatives/Currency Derivatives
 Segment/Commodity Derivatives Segment/Interest Rate Derivatives/New Debt
 Segment/Repo Segment

ICEX

No New Circular

MCX

- Commencement of Silver New LTP Based Spread Contracts
- Mock Trading
- Launch of Aluminium Mini (1 MT) and Zinc Mini (1 MT) June 2019 and July 2019 Futures

 Contracts with modifications
- Sun Outage
- Deferment of Lead, Lead Mini, Nickel and Nickel Mini Futures Contracts for the month of July 2019
- Deferment of Aluminium Mini (1 MT) and Zinc Mini (1 MT) Futures Contracts for the month of July 2019
- Commencement of Zinc June 2019 Option Contract with Zinc (5 MT) Futures (Compulsory delivery) as underlying
- Intimation of Change in Statutory Auditor
- "Know Your Depositor (KYD) & Depositor Transaction Declaration (DTD) formats for deposits of Base Metal(s) into MCXCCL Approved Warehouses"
- SEBI Order against Phillip Commodities India Private Limited
- Revision in Expiry Date of Castor Seed Contract expiring on March 20, 2019

SEBI

- Adjudication Order in respect of Mr. Shain Jose in the matter of M/s Inditrade Capital Ltd(formerly known as M/s JRG Securities Ltd)
- Adjudication Order in respect of Ms. S. Madeswari in the matter of M/s Inditrade Capital
 Ltd(formerly known as M/s JRG Securities Ltd)

- Adjudication Order in respect of Mr. S. Dhandapani in the matter of M/s Inditrade Capital Ltd(formerly known as M/s JRG Securities Ltd)
- Adjudication Order in respect of Mr. Reji P D in the matter of M/s Inditrade Capital Ltd(formerly known as M/s JRG Securities Ltd)
- Order in the matter of Supreme Tex Mart Limited
- Adjudication Order in respect of Competent Finman Pvt. Ltd. in the matter of Polo Hotels Ltd.
- Order in the matter of Zoid Research
- Order in the matter of Kalpbut Real Estate Limited
- Adjudication Order in respect of 10 Entities in the matter of Mindvision Capital Limited
- Adjudication Order in respect of 4 Entities in the matter of Mindvision Capital Limited
- Settlement Order in respect of Anil Gupta and 6 others
- Order in the matter of M/s. Commex Technology Ltd.
- Adjudication Order in the matter of Dealing in Illiquid Stock Options at the BSE N M
 Impex Pvt. Ltd.
- Order in the matter of Dredging Corporation of India Limited
- Adjudication order in the matter of Vishvas Securities
- Adjudication Order in respect to Shilpa Stock Brokers Pvt Ltd in the matter of Trading in the
 F & O segment at NSE
- Order in respect of Motilal Oswal Commodities Broker Private Limited
- Adjudication order against Nirmala Mehra in the matter of Fortune Financial Services (India) Ltd (now known as The Investment Trust of India Ltd.)
- Adjudication Order in respect of Ramchand Tahikandas Chhetija HUF in the matter of Mindvision Capital Ltd.
- Adjudication Order in respect of Vishal Ferro Alloys Private Ltd
- Adjudication Order in respect of Mr. Krishna Pradeepa S.V. (Kanipura Securities) in the matter of M/s Inditrade Capital Ltd(formerly known as M/s JRG Securities Ltd)
- Adjudication Order in respect of Mr.Madhu M R in the matter of M/s Inditrade Capital
 Ltd(formerly known as M/s JRG Securities Ltd)

- Adjudication Order in respect of Mr. Aravindan Unnithan in the matter of M/s Inditrade

 Capital Ltd(formerly known as M/s JRG Securities Ltd)
- Adjudication Order against R P Computer Forms Private Limited
- Adjudication Order in the matter of M/s Manubhai Mangaldas Securities Pvt. Ltd.
- Order in respect of Phillip Commodities India Pvt. Ltd. (Formerly known as "MF Global SIFY Securities India Ltd.")
- Interim Order in the matter of Allied Financial Services Pvt. Ltd.
- Adjudication Order in respect of 11 Entities in the matter of Mindvision Capital Limited

NSDL

No New Circulars

NCDEX

- Order in respect of M/s. Geofin Comtrade Limited (formerly known as M/s. Geojit Comtrade Limited)
- Format for annual secretarial audit report and annual secretarial compliance report for listed entities and their material subsidiaries
- Settlement Calendar and timelines for submission of delivery intentions for all the contracts expiring in March 2019
- Availability of new version of STWT trading software from M/s. Saral Information Technologies Pvt. Ltd
- Sun Outage for VSAT Connectivity
- Change in Statutory Auditor of the member entity
- Order in respect of M/s. Phillip Commodities India Pvt. Ltd. (Formerly known as "MF Global SIFY Securities India Ltd.")
- SEBI Order In the matter of trading in Mentha Oil Contracts at Multi Commodity Exchange of India

Disclaimer: We will not be responsible for any usage of it or any reproduction or any losses arising out of usage of the extracts of the circulars from various exchanges. All being a compilation done to read it at one place. For details of circulars, all are requested to go to the website of the respective regulators or exchanges which shall be final and we shall not be responsible at any level.